

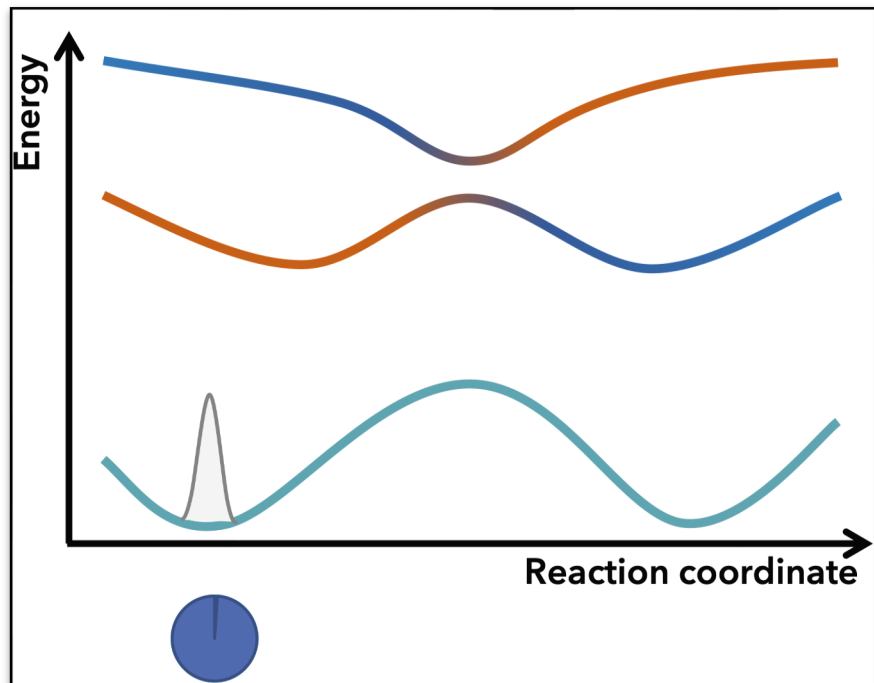


Computational Modeling of Nanosystems

TD6 – NEA & Surface Hopping

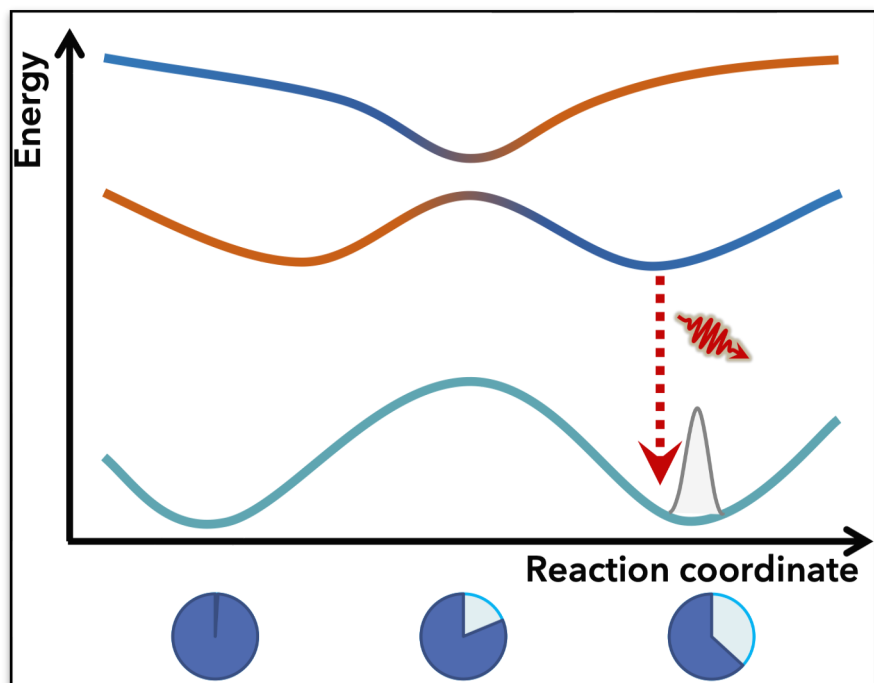
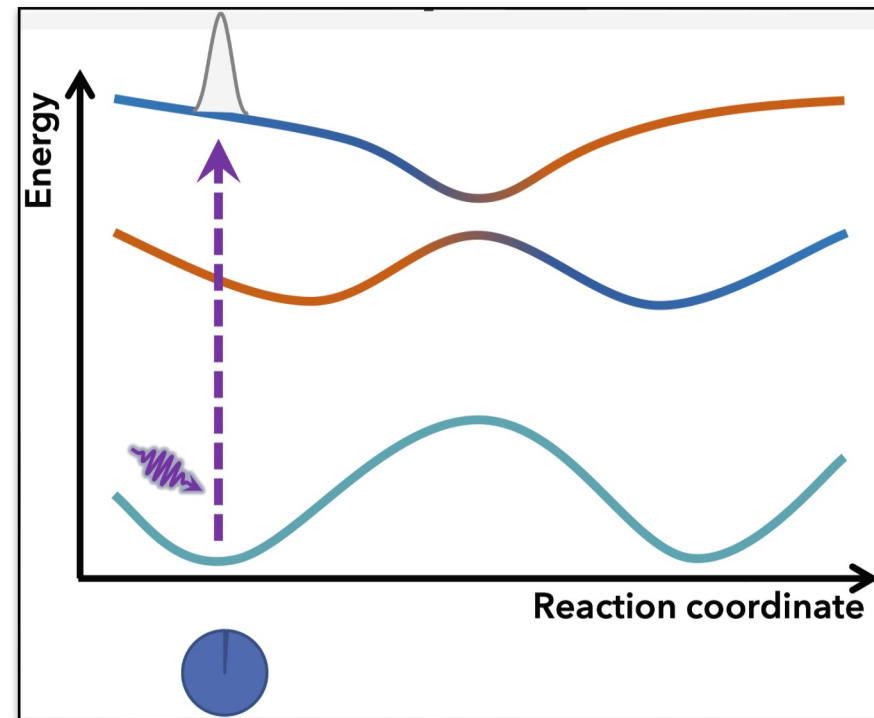
Saikat Mukherjee

saikat.mukherjee@univ-amu.fr



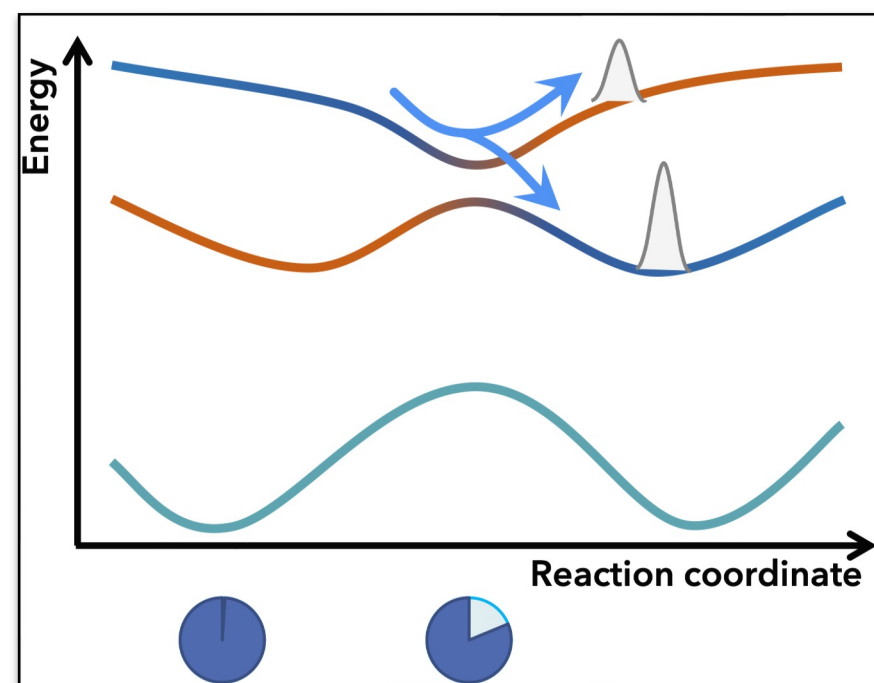
Excitation \Rightarrow

Nonadiabatic Dynamics



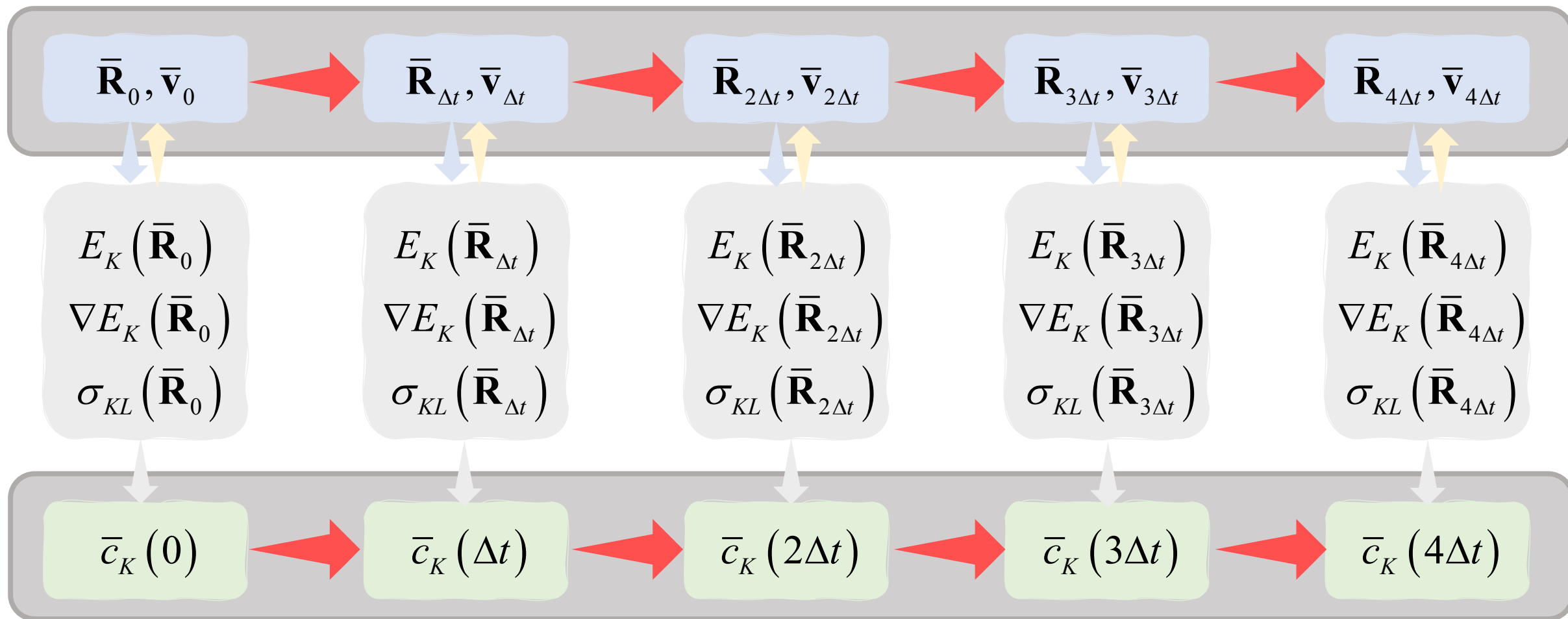
\Leftarrow Relaxation

Branching \Rightarrow



Mixed Quantum-Classical Dynamics

Classical EOM



Quantum EOM

Fewest-Switch Surface Hopping

$$\frac{d^2 \mathbf{R}_\alpha}{dt^2} = \frac{1}{M_\alpha} \mathbf{F}(\mathbf{R}) \quad \mathbf{F}(\bar{\mathbf{R}}) = -\nabla_\alpha E_L$$

Nuclei are propagated Classically

$$\frac{dc_J}{dt} = \sum_K -c_K \left(\frac{i}{\hbar} E_K + \sigma_{JK} \right) \quad \sigma_{JK}(\mathbf{R}) \equiv \left\langle \psi_J \left| \frac{\partial \psi_K}{\partial t} \right. \right\rangle$$

Electrons are treated Quantum Mechanically

$$P_{L \rightarrow J}^{FSSH} = \max \left[0, \frac{-2\Delta t}{|c_L|^2} \operatorname{Re}(\sigma_{LJ} c_J c_L^*) \right]$$

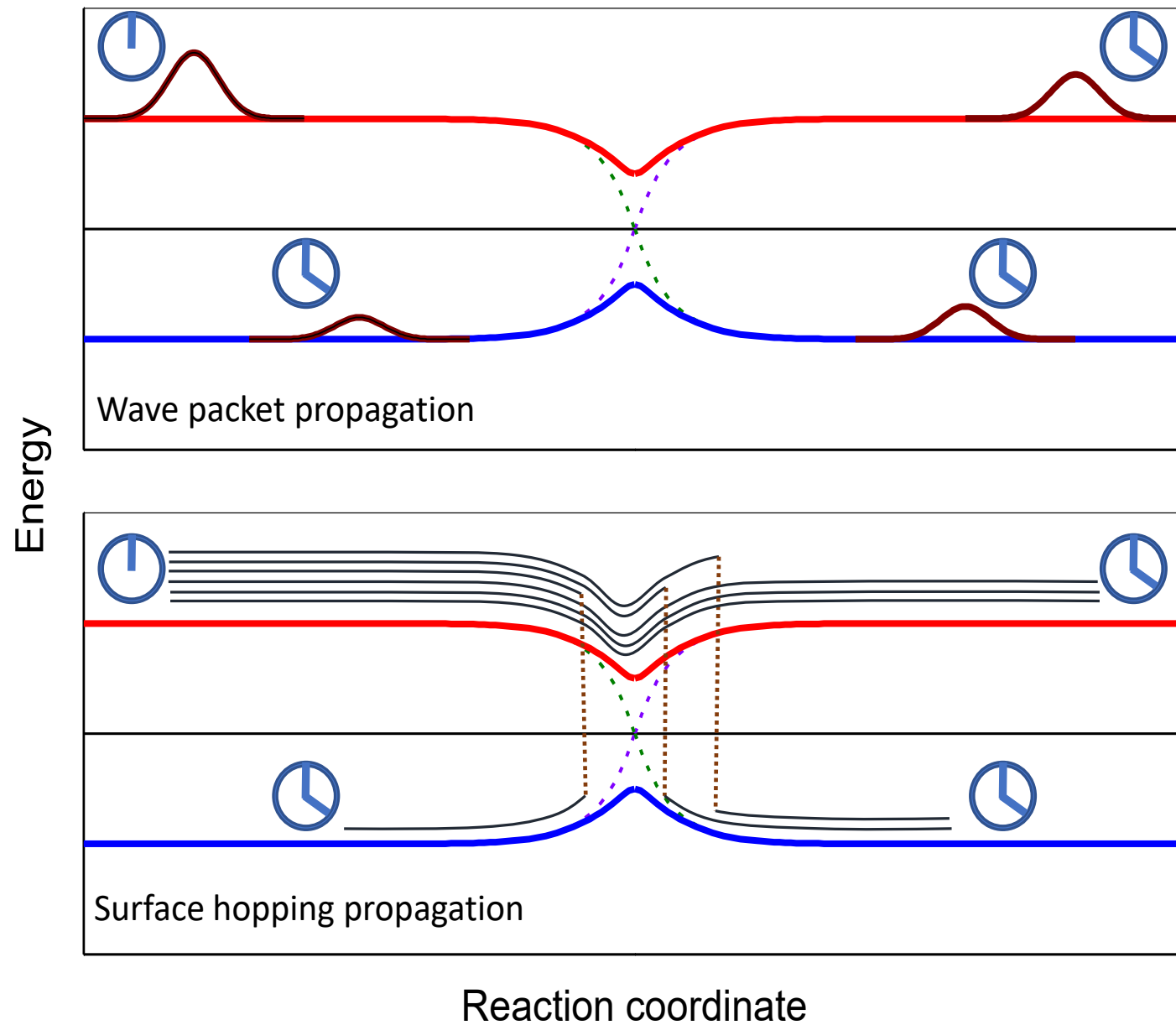
Hopping Probabilities

$$\sum_{K=1}^{J-1} P_{L \rightarrow K}^{FSSH} < r_t \leq \sum_{K=1}^J P_{L \rightarrow J}^{FSSH}$$

Nonadiabatic Algorithm for surface hopping

Fewest-Switch Surface Hopping

- Wavepacket is mimicked by a swarm of independent classical trajectories propagated on a single PES.
- FSSH dictates the nonadiabatic events.
- The wavepacket branching/bifurcation will be captured on average over all the trajectories.



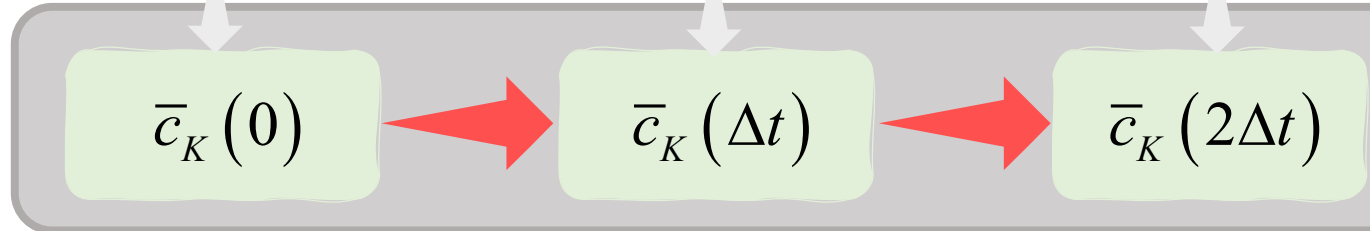
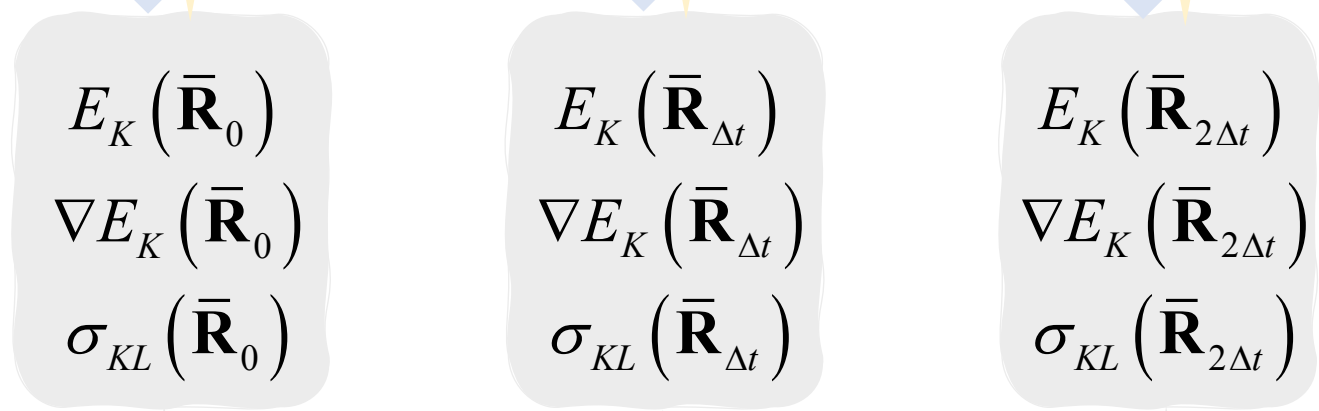
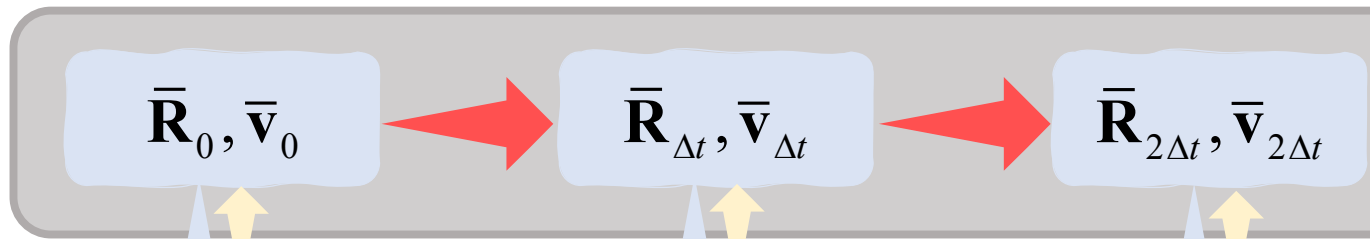
Initial Conditions

build an ensemble of nuclear points $\{\mathbf{R}, \mathbf{P}\}$

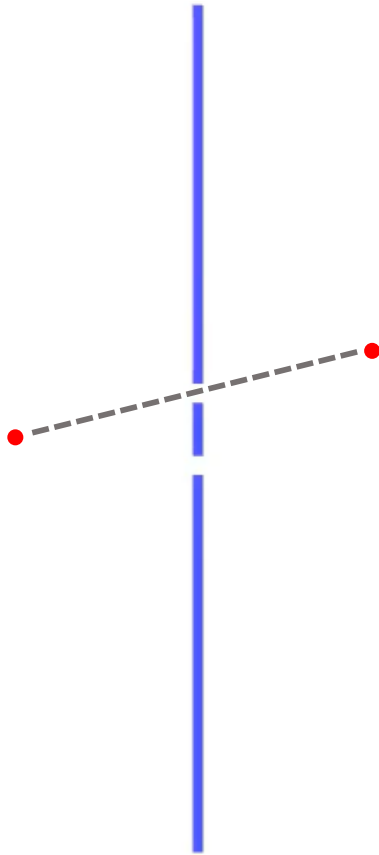
- Initial geometry
- Initial velocity
- Initial electronic state
- Initial TDSE coefficients

BUT WHY ENSEMBLE

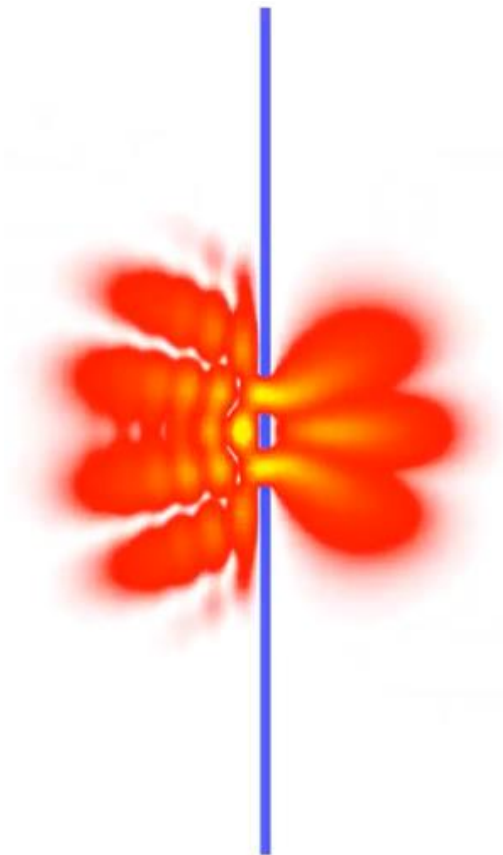
Classical EOM



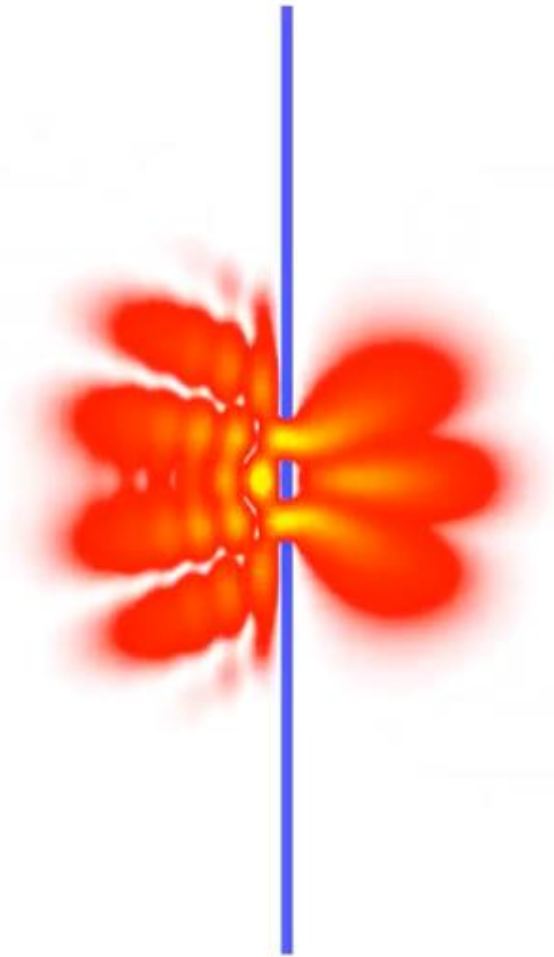
Quantum EOM



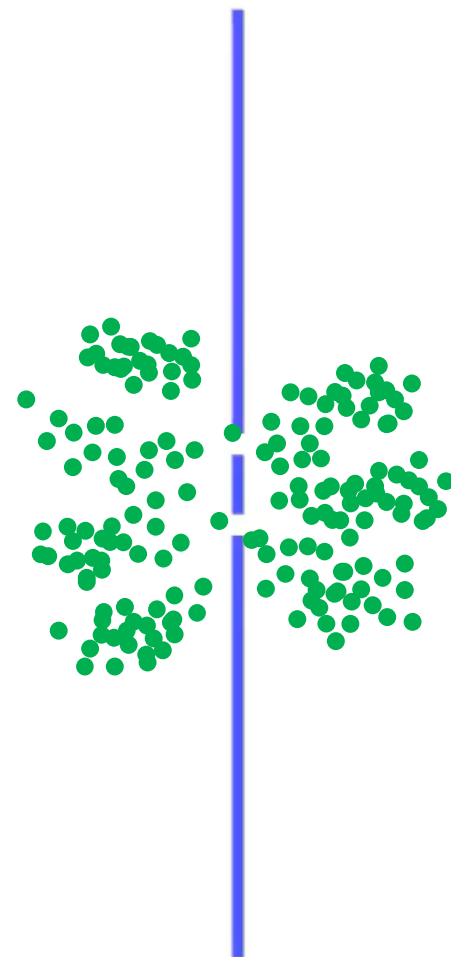
A **classical particle**
is a particle (!)



A **quantum particle**
delocalizes over space



QM Wavefunction



Swarm of Classical trajectories

Nuclear Ensemble Approach

- Classical Harmonic oscillator
- Uncorrelated Quantum Harmonic Oscillator (Wigner Distribution)
- Correlated quantum Harmonic Oscillator
- Pick points from previous dynamics
- Random Velocities

Wigner Distribution: linking wavefunction to a probability distribution in phase space (position, momenta)

$$W(x, p) = \frac{1}{\pi\hbar} \int_{-\infty}^{\infty} \psi^*(x + y)\psi(x - y)e^{2ipy/\hbar} dy$$

E. P. Wigner, On the quantum correction for thermodynamic equilibrium, *Phys. Rev.* **40**, 749 (1932).

M. Hillery, R. F. O'Connell, M. O. Scully, and E. P. Wigner, Distribution functions in physics: Fundamentals, *Phys. Rep.* **106**, 121 (1984).

Y. S. Kim and E. P. Wigner, Canonical transformations in quantum mechanics, *Am. J. Phys.* **58**, 439 (1990).

Nuclear Ensemble Approach

- Sampling ground-state density at the initial time considering the harmonic approximation and employing the Wigner distribution

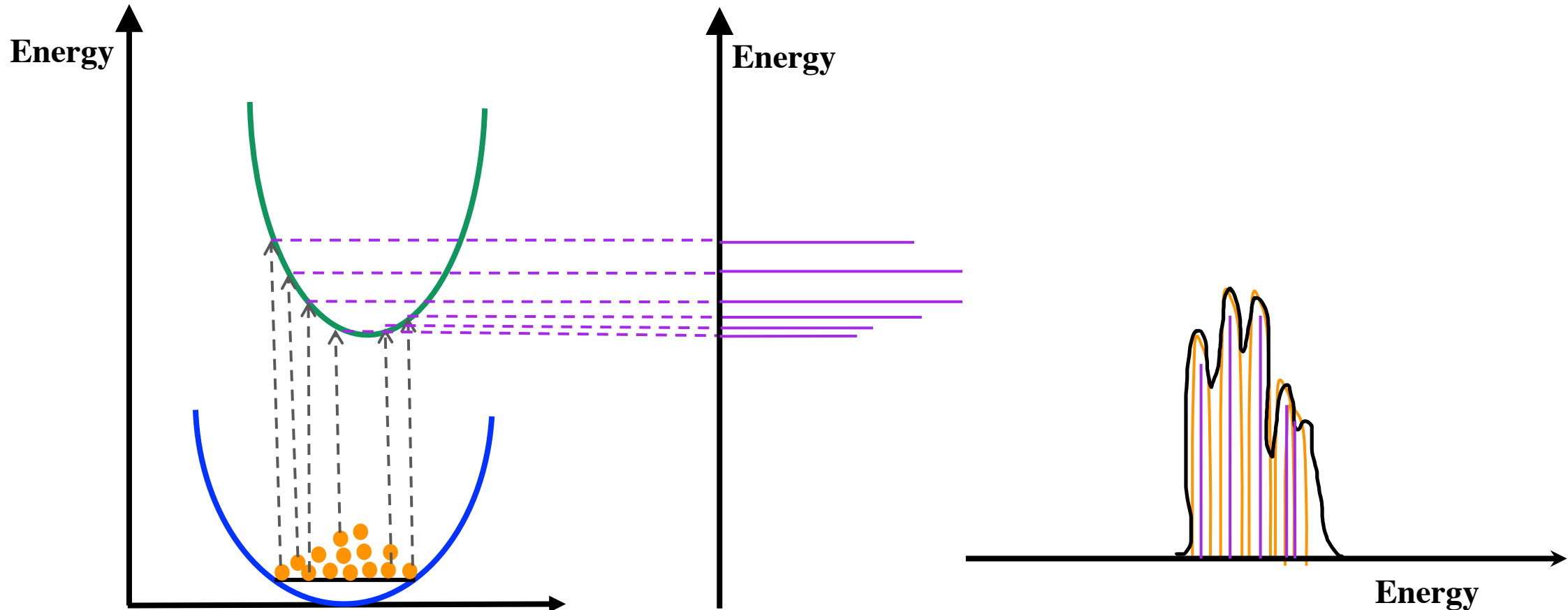
$$P_W(\mathbf{q}, \mathbf{p}) = \prod_{i=1}^{3N-6} \frac{\alpha_i}{\pi \hbar} \exp\left(-\frac{2\alpha_i}{\hbar\omega_i} \left[\frac{\mu_i \omega_i^2 q_i^2}{2} + \frac{p_i^2}{2\mu_i}\right]\right); \quad \alpha_i = \tanh\left(\frac{\hbar\omega_i}{2k_B T}\right)$$

- A stochastic algorithm generates an ensemble of N_c normal coordinates from the Wigner distribution and then they are converted to Cartesian geometries. $\rightarrow \{\mathbf{R}, \mathbf{P}\}$

Absorption Spectra

The absorption cross-section

$$\sigma(E) = \frac{\pi e^2 \hbar}{2mc\epsilon_0 n_r E} \sum_n^{nstate} \frac{1}{N_c} \sum_i^{N_c} \Delta E_{0n}(R_i) f_{0n}(R_i) \times g(E - \Delta E(R_i), \delta_n)$$



❖ **Electronic Structure:**

ORCA – Prof. Frank Neese, MPI Mulheim

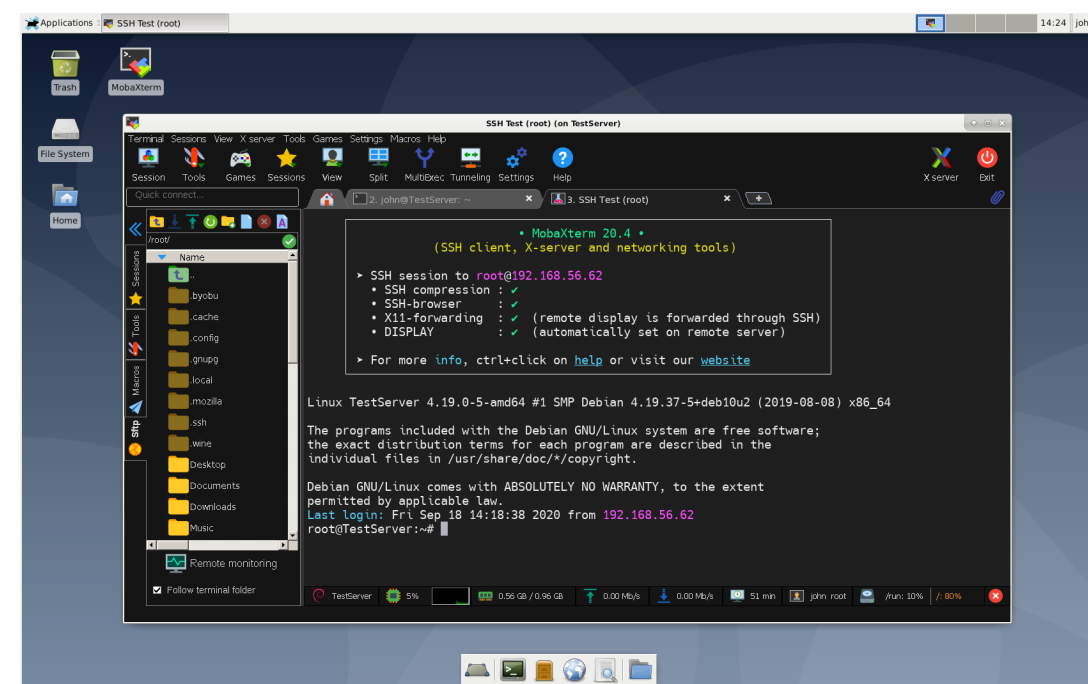
<https://www.faccts.de/orca/>

❖ **Surface Hopping Dynamics:**

Newton-X – Prof. Mario Barbatti, Aix-Marseille University

<https://newtonx.org/>

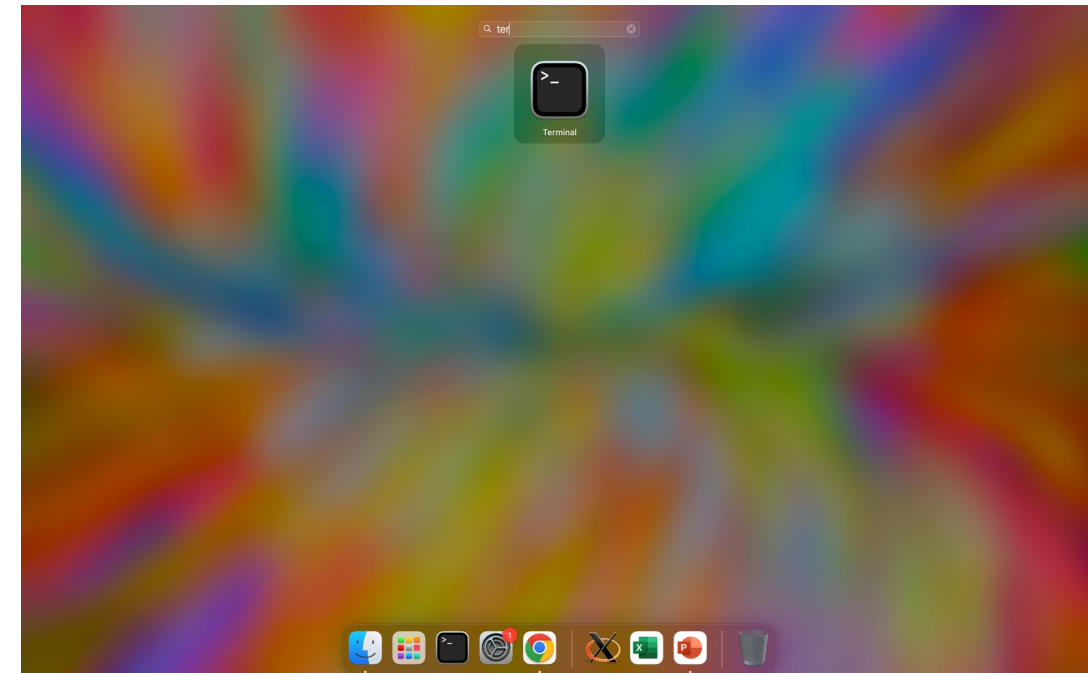
Windows User: MobaXterm



Mac User: Terminal

Go to “Launchpad”

→ look for “Terminal”



```
[tpsessions@data ~]$
```

```
[tpsessions@data ~]$ ls
```

```
tp4  tp5  tp6
```

```
[tpsessions@data ~]$ cd tp6/
```

```
[tpsessions@data tp6]$ ls
```

```
inputs
```

```
[tpsessions@data tp6]$ mkdir saikat
```

```
[tpsessions@data tp6]$ ls
```

```
inputs  saikat
```

```
[tpsessions@data tp6]$ cd saikat
```

```
[tpsessions@data saikat]$ cp -r ../inputs/* .
```

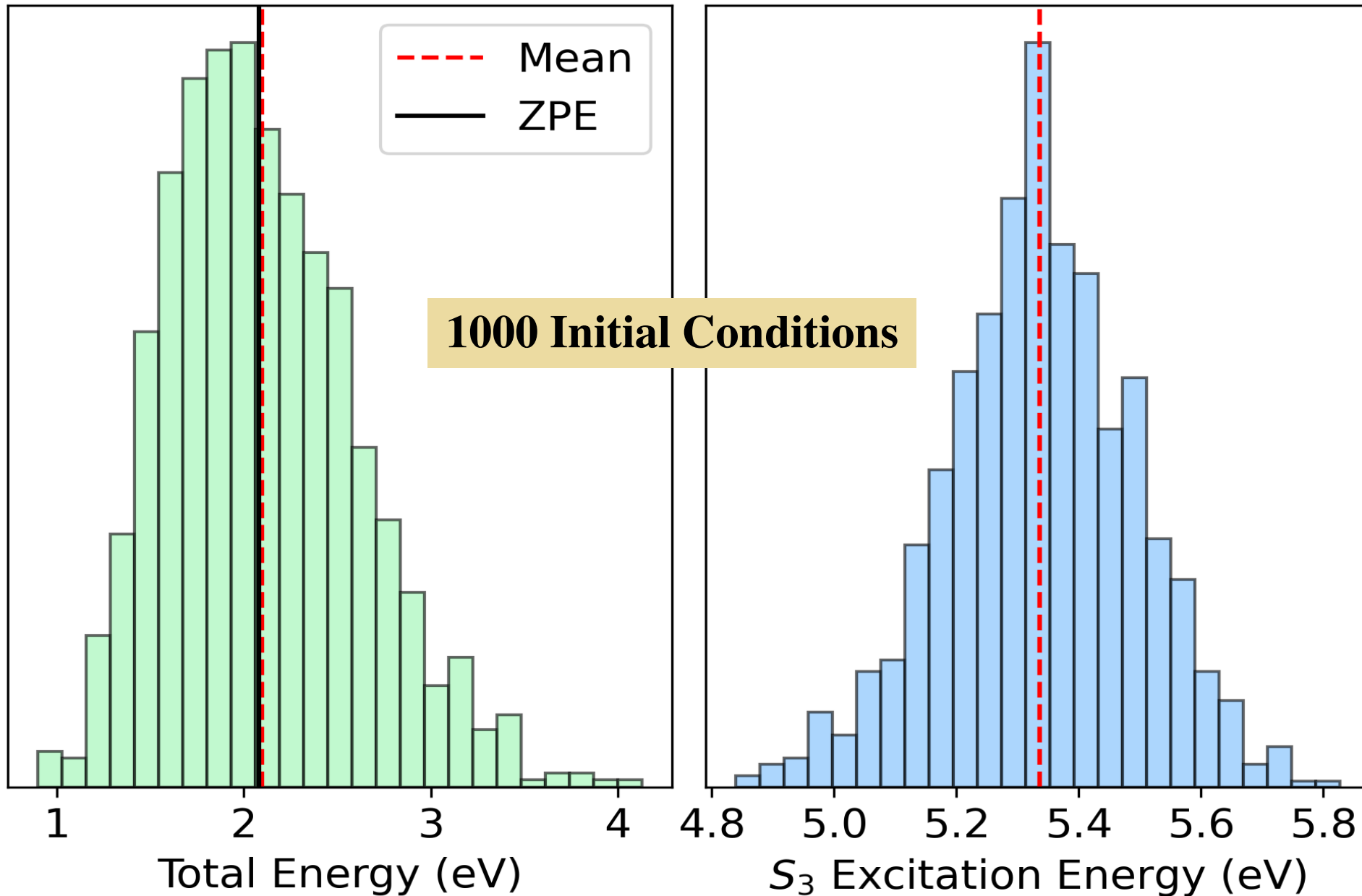


```
[tpsessions@data saikat]$ source ~/.bashrc
Loading icc version 2023.0.0
Loading compiler-rt version 2023.0.0

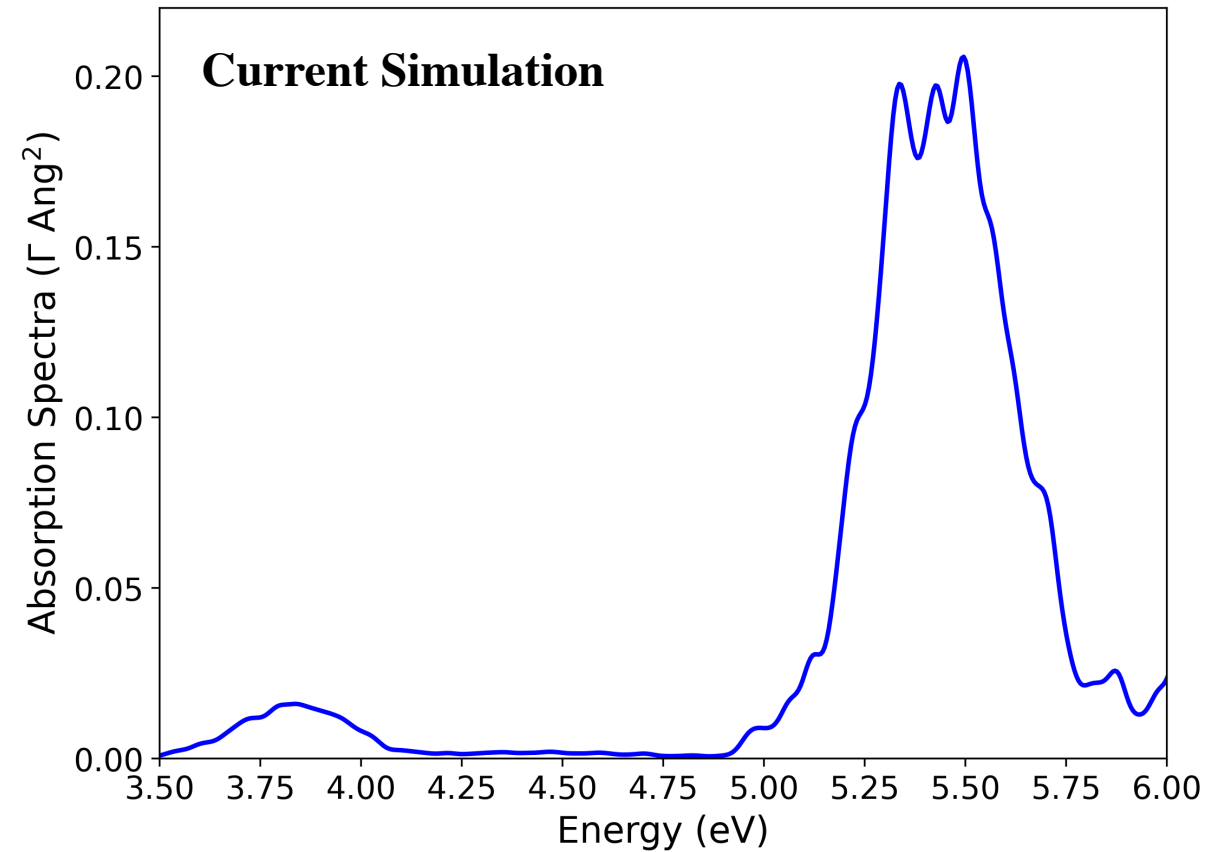
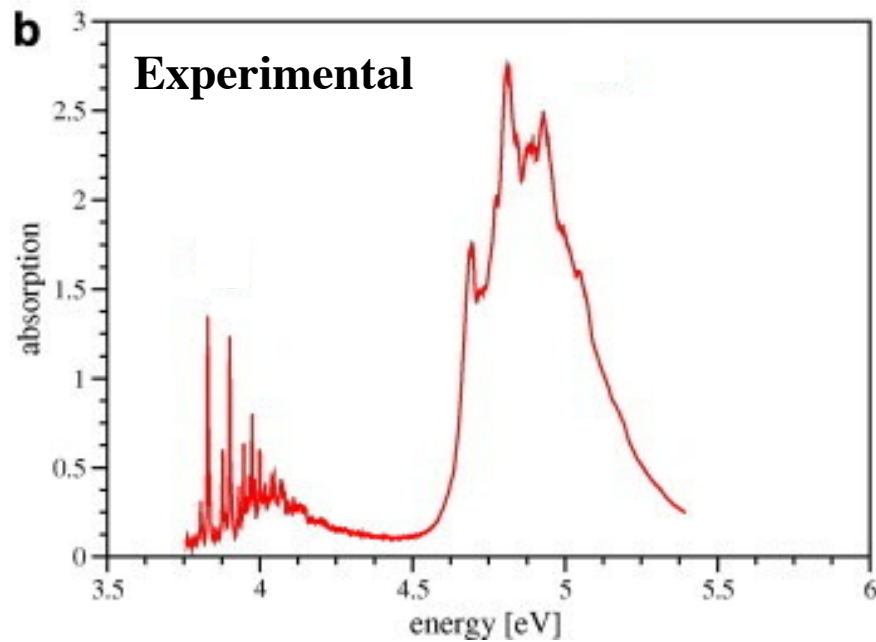
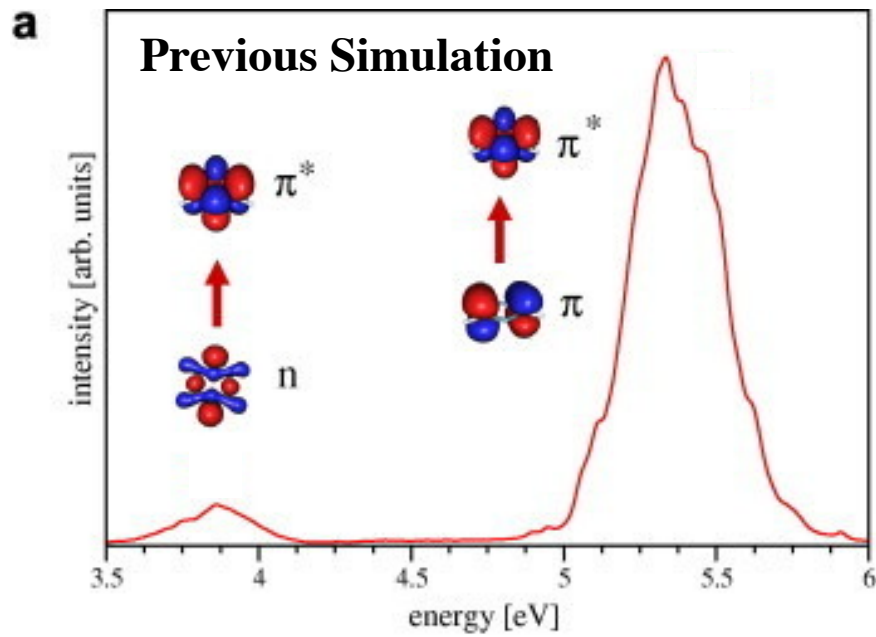
Loading gromacs/2022.3
  Loading requirement: intel-oneapi/2023.0.0 compiler-rt/latest icc/latest
  openmpi/intel2023/4.1.1 python/3.11.2
Loading newtonx/master
  Loading requirement: gcc/12.2.0 openblas/gcc12/0.3.21
[tpsessions@data saikat]$ module list
Currently Loaded Modulefiles:
  1) intel-oneapi/2023.0.0      5) python/3.11.2      9) openblas/gcc12/0.3.21
  2) compiler-rt/latest       6) gromacs/2022.3    10) newtonx/master
  3) icc/latest                7) orca/5.0.4
  4) openmpi/intel2023/4.1.1  8) gcc/12.2.0
[tpsessions@data saikat]$ |
```

```
tpsessions@data:~/tp6/saikat/NEA — ssh tpsessions@147.94.185.212 — 62x12
~ — saikat@data:~/QChem-Class/DYNAMICS/TRAJECTORIES — ssh saikat@147.94.185.212
[tpsessions@data saikat]$ ls ←
DYN  NEA
[tpsessions@data saikat]$ cd NEA ←
[tpsessions@data NEA]$ ls ←
freq.hess  JOB_AD  opt.xyz
[tpsessions@data NEA]$ $NX/xyz2nx <opt.xyz ←
[tpsessions@data NEA]$ ls ←
freq.hess  geom  JOB_AD  opt.xyz
[tpsessions@data NEA]$
[tpsessions@data NEA]$ $NX/nxinp ←
```

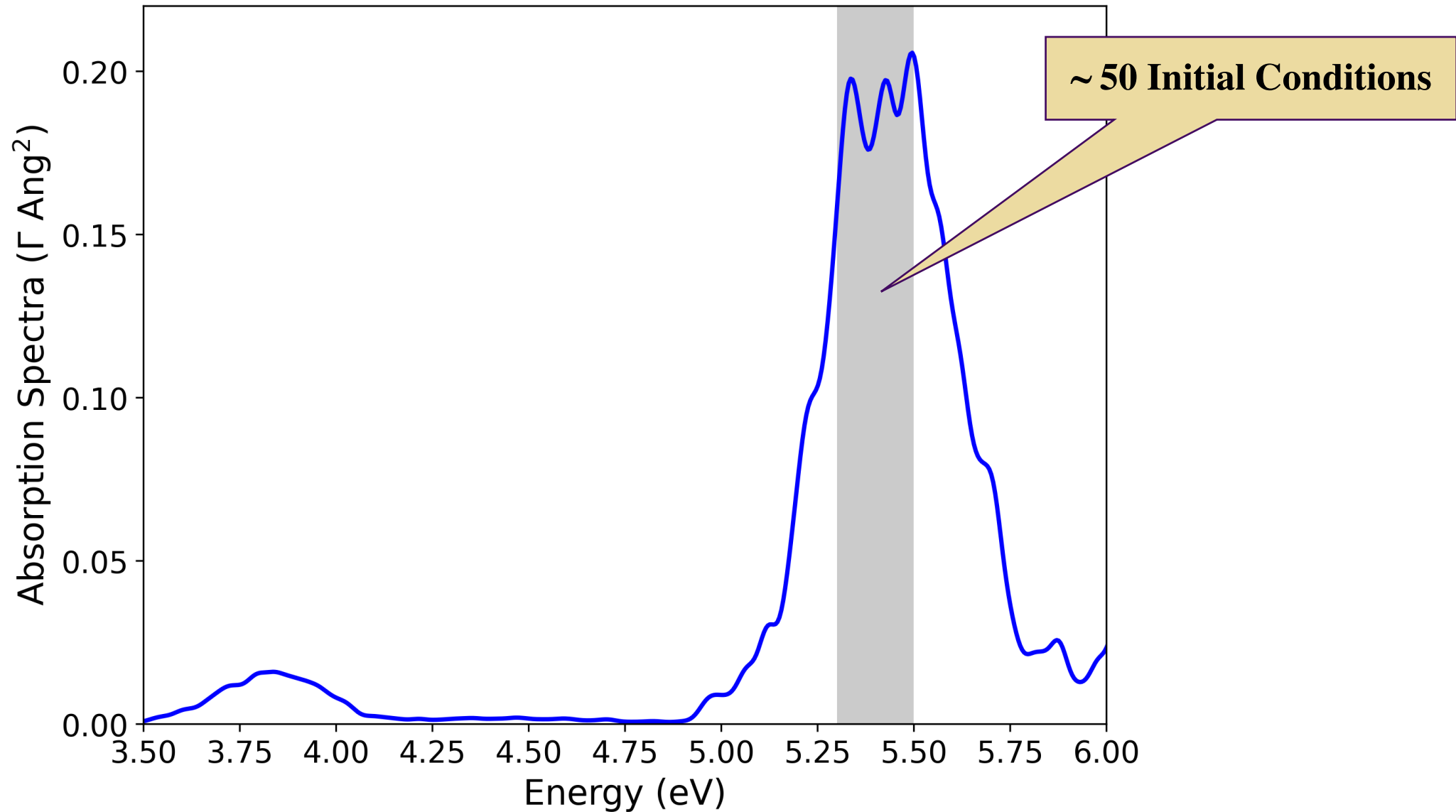

NEA Wigner Sampling: Pyrazine



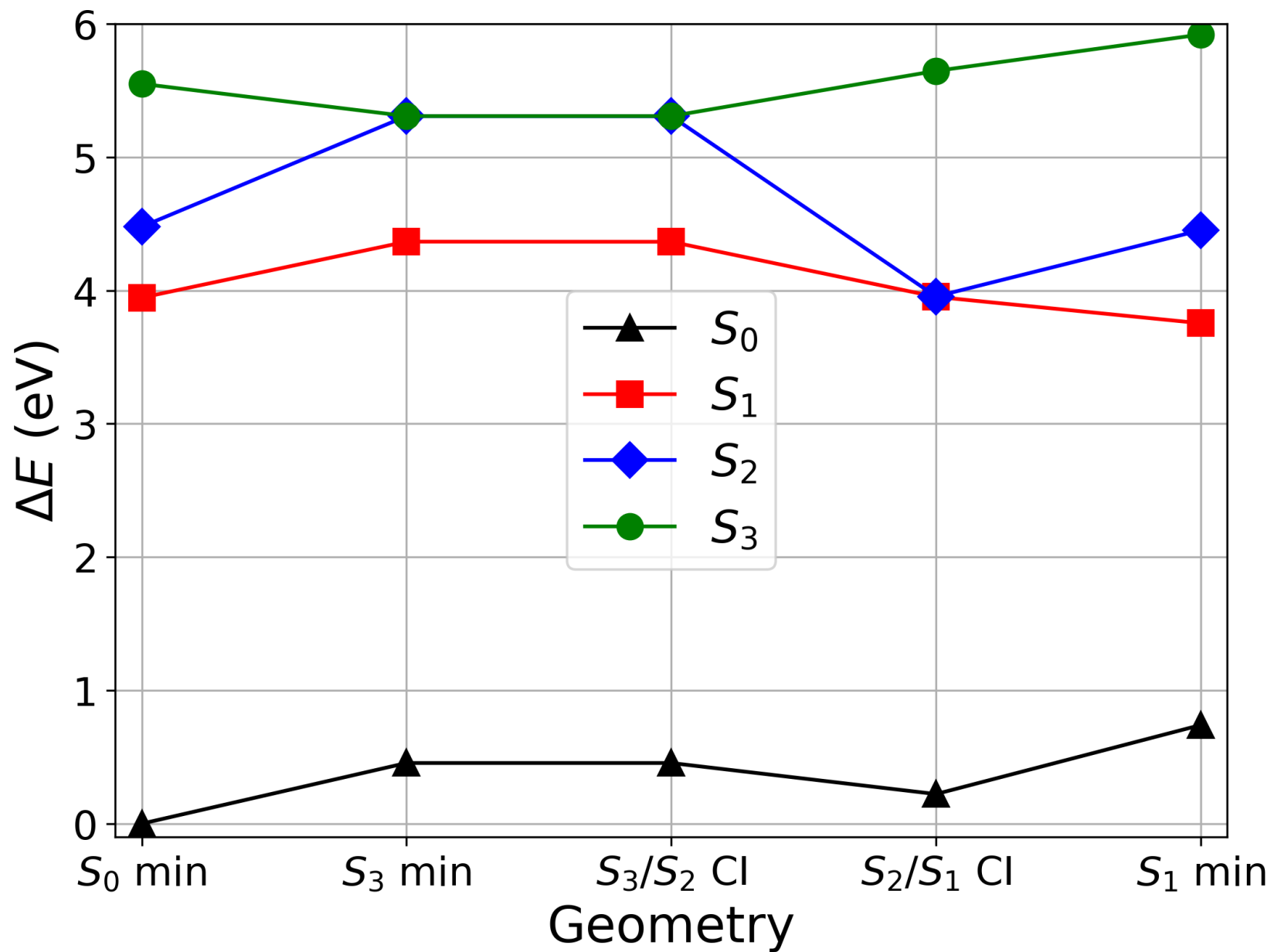
Absorption Spectra



Selected Initial Conditions



Pyrazine: PESs Topology



Fewest-Switch Surface Hopping

$$\frac{d^2 \mathbf{R}_\alpha}{dt^2} = \frac{1}{M_\alpha} \mathbf{F}(\mathbf{R}) \quad \mathbf{F}(\bar{\mathbf{R}}) = -\nabla_\alpha E_L$$

Initial Geometries



$$\frac{dc_J}{dt} = \sum_K -c_K \left(\frac{i}{\hbar} E_K + \sigma_{JK} \right) \quad \sigma_{JK}(\mathbf{R}) \equiv \left\langle \psi_J \left| \frac{\partial \psi_K}{\partial t} \right. \right\rangle$$

Initial Velocities



Initial Electronic State



Initial TDSE coefficients



$$P_{L \rightarrow J}^{FSSH} = \max \left[0, \frac{-2\Delta t}{|c_L|^2} \text{Re}(\sigma_{LJ} c_J c_L^*) \right]$$

$$\sum_{K=1}^{J-1} P_{L \rightarrow K}^{FSSH} < r_t \leq \sum_{K=1}^J P_{L \rightarrow J}^{FSSH}$$

What to do with NAC σ

Time-dependent Baeck-An (TDBA) NAC

$$\sigma_{JL} \equiv \mathbf{v} \cdot \mathbf{h}_{JL} \approx \begin{cases} \frac{\text{sgn}(\Delta E_{JL})}{2} \sqrt{\frac{1}{\Delta E_{JL}} \frac{d^2 \Delta E_{JL}}{dt^2}} & \text{if } \frac{1}{\Delta E_{JL}} \frac{d^2 \Delta E_{JL}}{dt^2} > 0 \\ 0 & \text{if } \frac{1}{\Delta E_{JL}} \frac{d^2 \Delta E_{JL}}{dt^2} \leq 0 \end{cases}$$

K. K. Baeck, H. An, *J. Chem. Phys.* **146**, 064107 (2017).

M. T. do Casal, J. Toldo, M. Pinheiro Jr., M. Barbatti, *Open Res Europe* **1**, 49 (2022).

```
tpsessions@data:~/tp6/saikat/DYN — ssh tpsessions@147.94.185.212 — 62x12
~ — saikat@data:~/QChem-Class/DYNAMICS/TRAJECTORIES — ssh saikat@147.94.185.212
tpsessions@data:~/tp6/saikat/DYN — ssh tpsessions@147.94.185.212

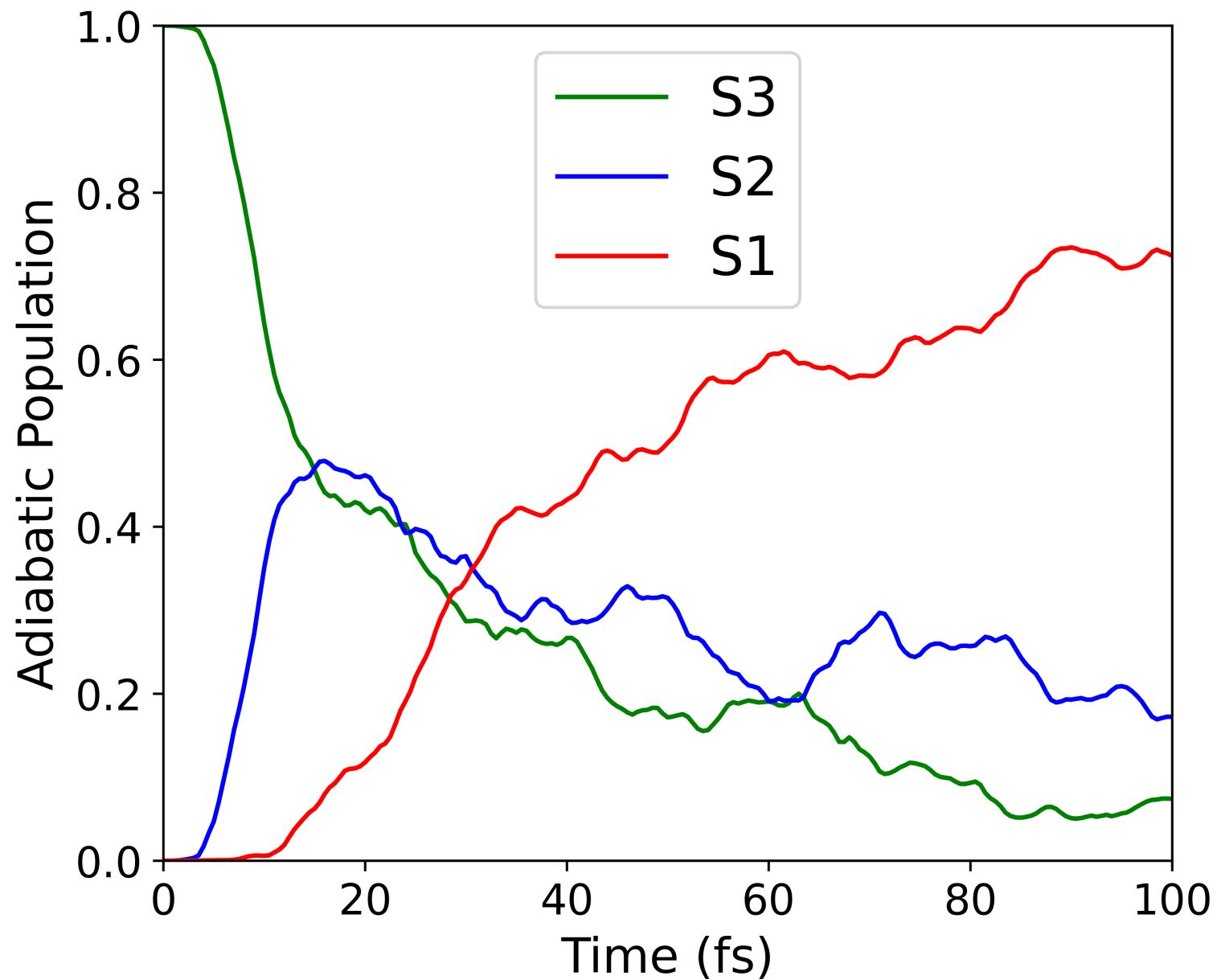
[tpsessions@data NEA]$ cd ../ ←
[tpsessions@data saikat]$ ls ←
DYN  NEA
[tpsessions@data saikat]$ cd DYN ←
[tpsessions@data DYN]$ ls ←
geom  initial_condition  JOB_AD
[tpsessions@data DYN]$ $NX/nxinp ←
```

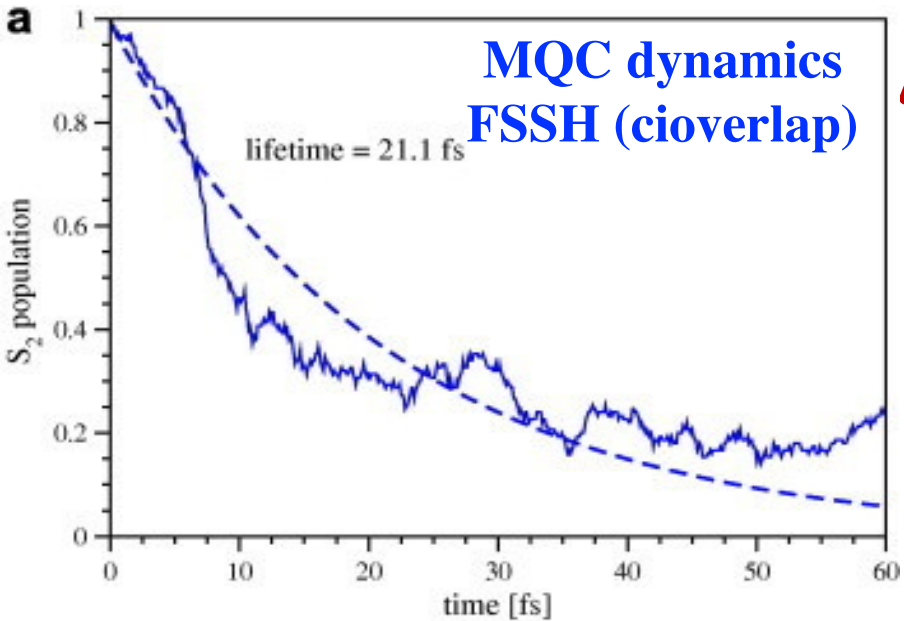


```
tpsessions@data:~/tp6/saikat/DYN/TRAJECTORIES/TRAJ1 — ssh tpsessions@147.94.185.212 — 62x12
~ — saikat@data:~/QChem-Class/DYNAMICS/TRAJECTORIES — ssh saikat@147.94.185.212
[tpsessions@data DYN]$ ls ←
auxnac.inp      geom              JOB_AD           sh.inp
control.dyn    initial_condition mkdir.log        TRAJECTORIES
DEBUG          jiri.inp         mkd.inp
[tpsessions@data DYN]$ cd TRAJECTORIES ←
[tpsessions@data TRAJECTORIES]$ ls ←
TRAJ1  TRAJ2
[tpsessions@data TRAJECTORIES]$ cd TRAJ1 ←
[tpsessions@data TRAJ1]$ ls ←
auxnac.inp      geom              JOB_AD           veloc
control.dyn    jiri.inp         sh.inp
[tpsessions@data TRAJ1]$ $NX/molodyn.pl > molodyn.out & ←
```

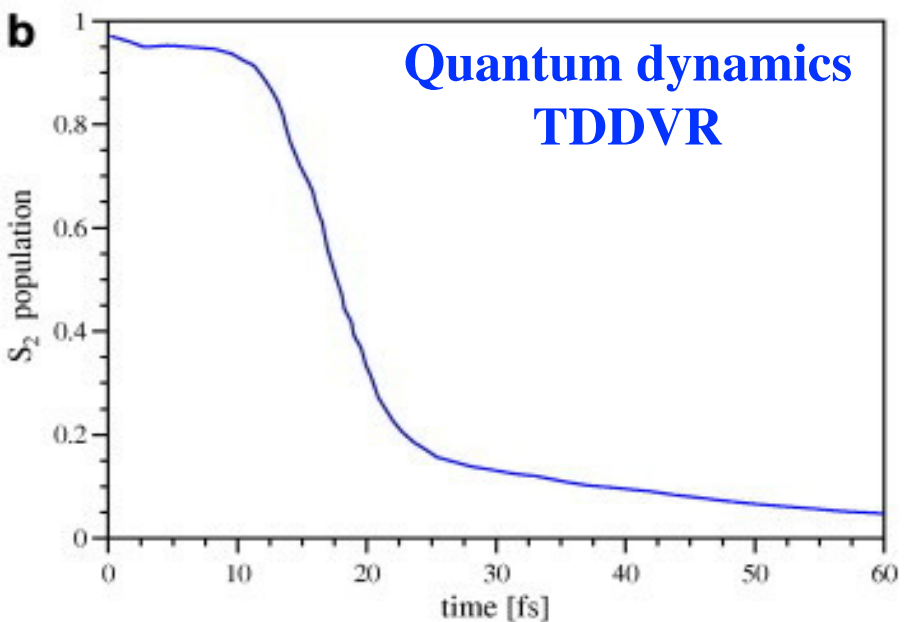
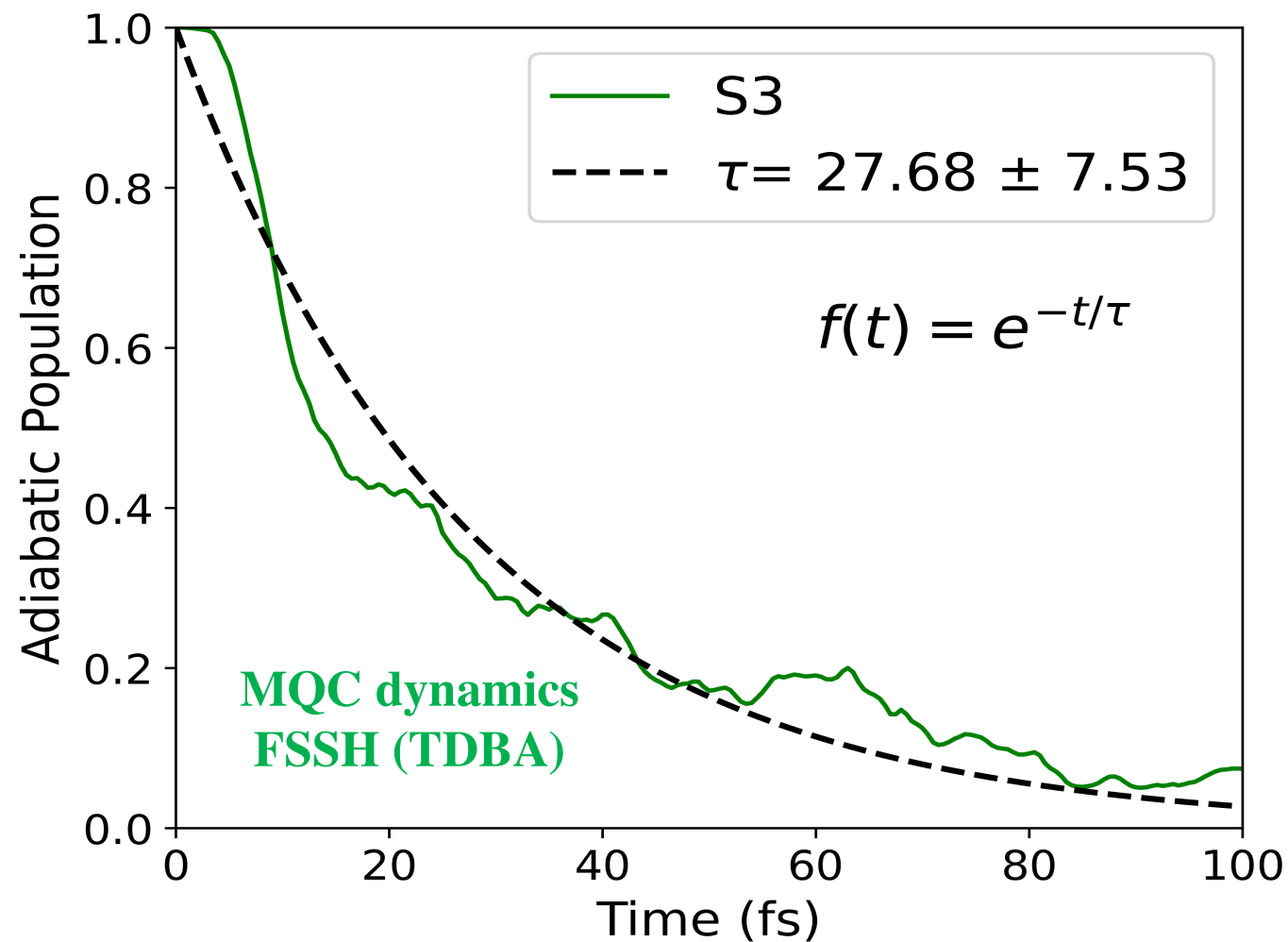

Analysis: Population Profile

80 Trajectories





Analysis: S_3 Lifetime

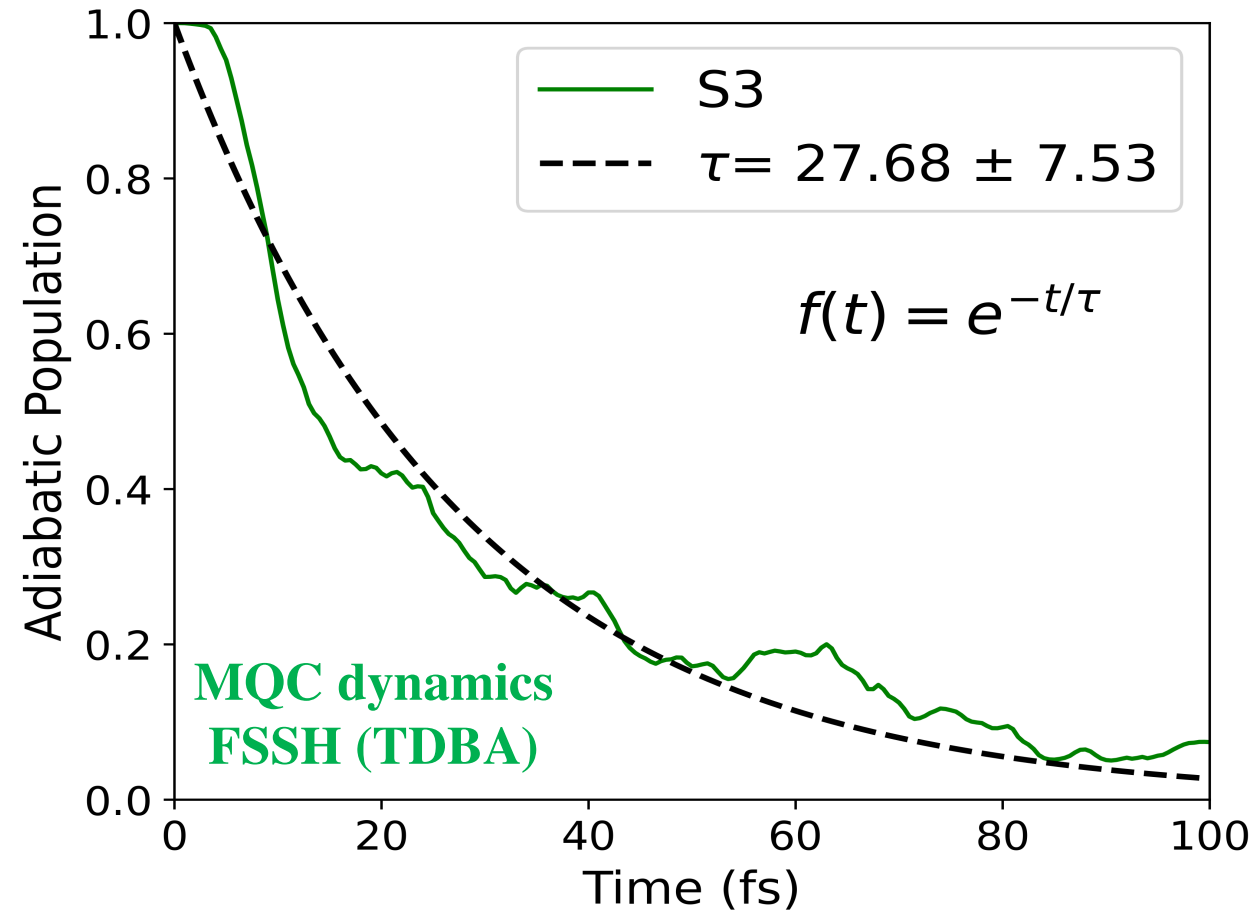


FSSH: U. Werner, R. Mitric, T. Suzuki, V. Bonacic-Koutecky, *Chem. Phys.* **349**, 319 (2008).

QD: P. Puzari, R. S. Swathi, B. Sarkar, S. Adhikari, *J. Chem. Phys.* **123**, 134317 (2005).

Analysis: S₃ Lifetime

	S ₃ Lifetime (fs)
Experiment	20 ± 10
FSSH (NAC – cioverlap)	21.1
FSSH (NAC – TDBA)	28 ± 8

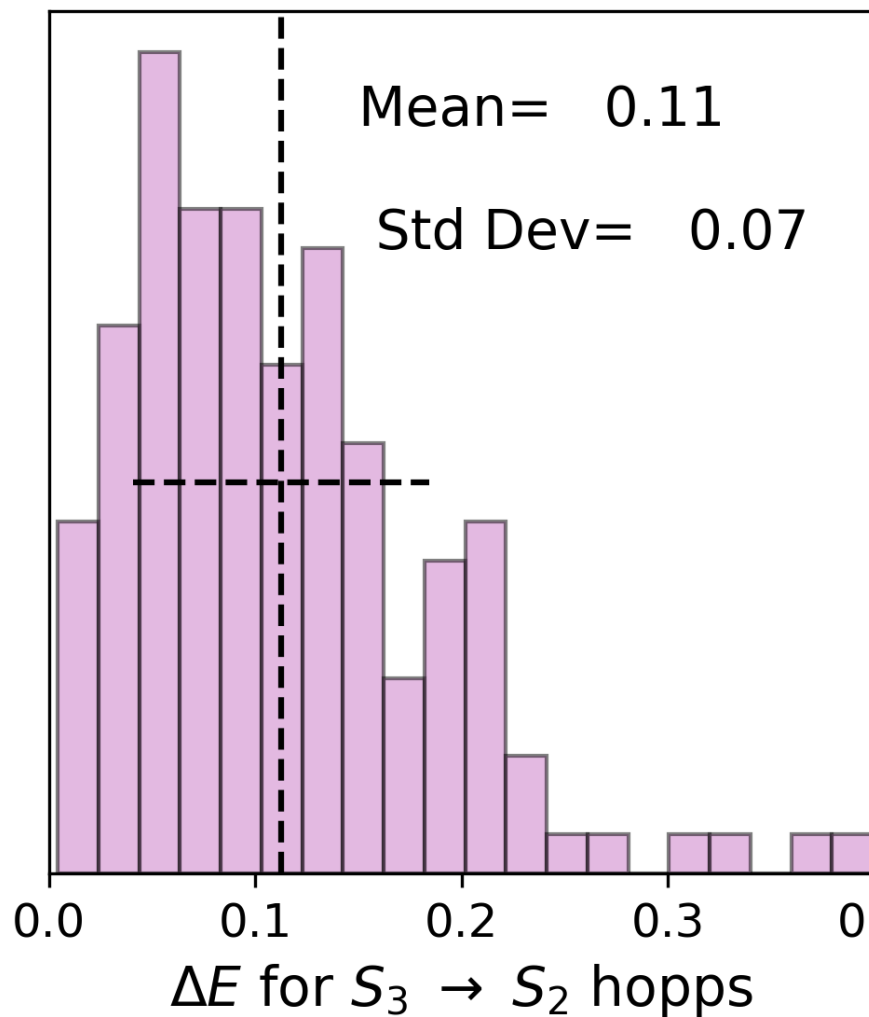


FSSH: U. Werner, R. Mitric, T. Suzuki, V. Bonacic-Koutecky, *Chem. Phys.* **349**, 319 (2008).

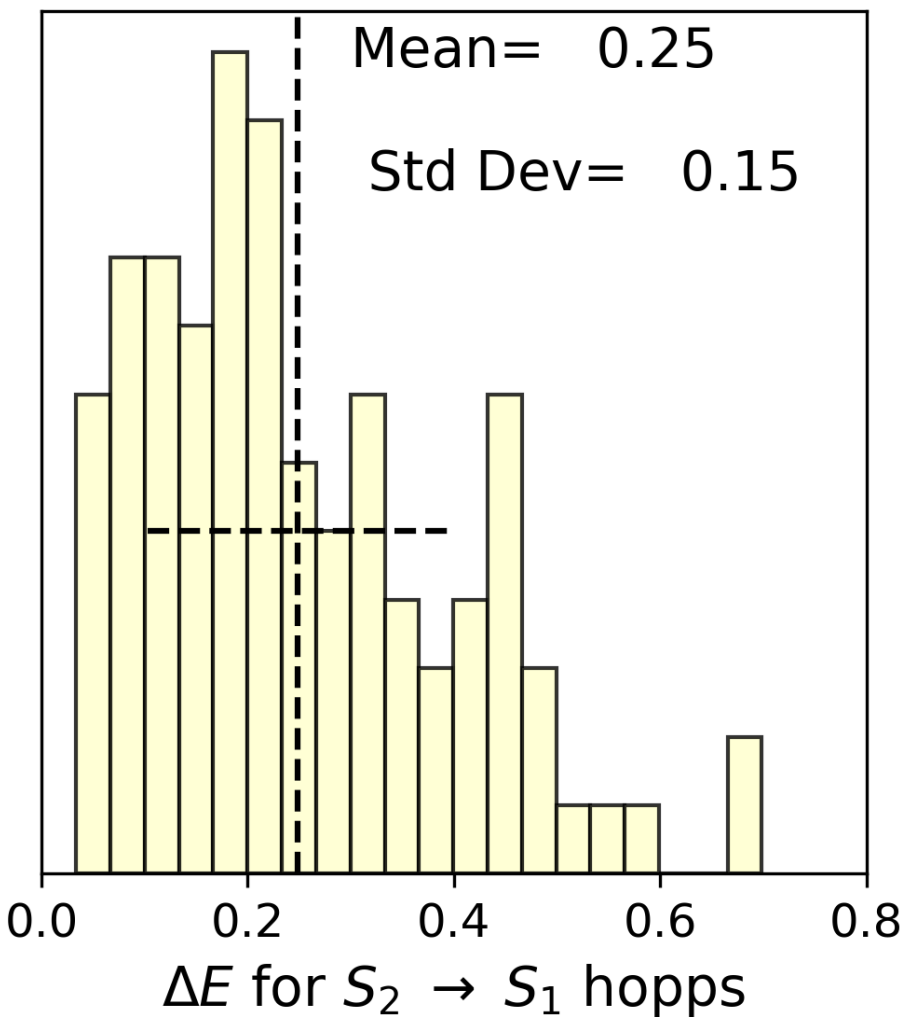
Expt.: V. Stert, P. Farmanara, W. Radloff, *J. Chem. Phys.* **112**, 4460 (2000).

80 Trajectories

Analysis: Hopping

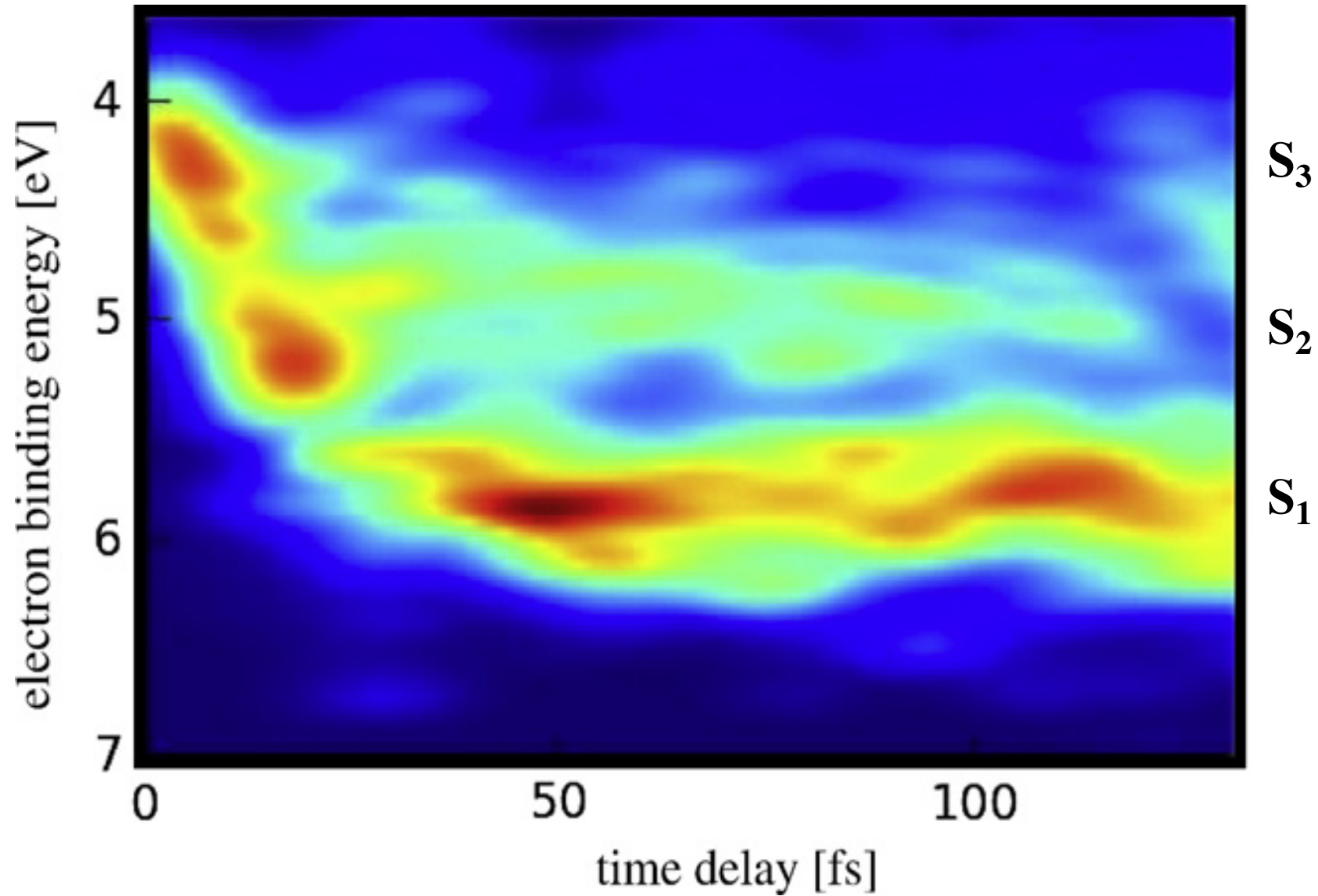


Exponential Distribution
 S_3/S_2 Conical Intersection



Gaussian Distribution
 S_2/S_1 Avoided Crossing

Analysis: Calculated TRPES

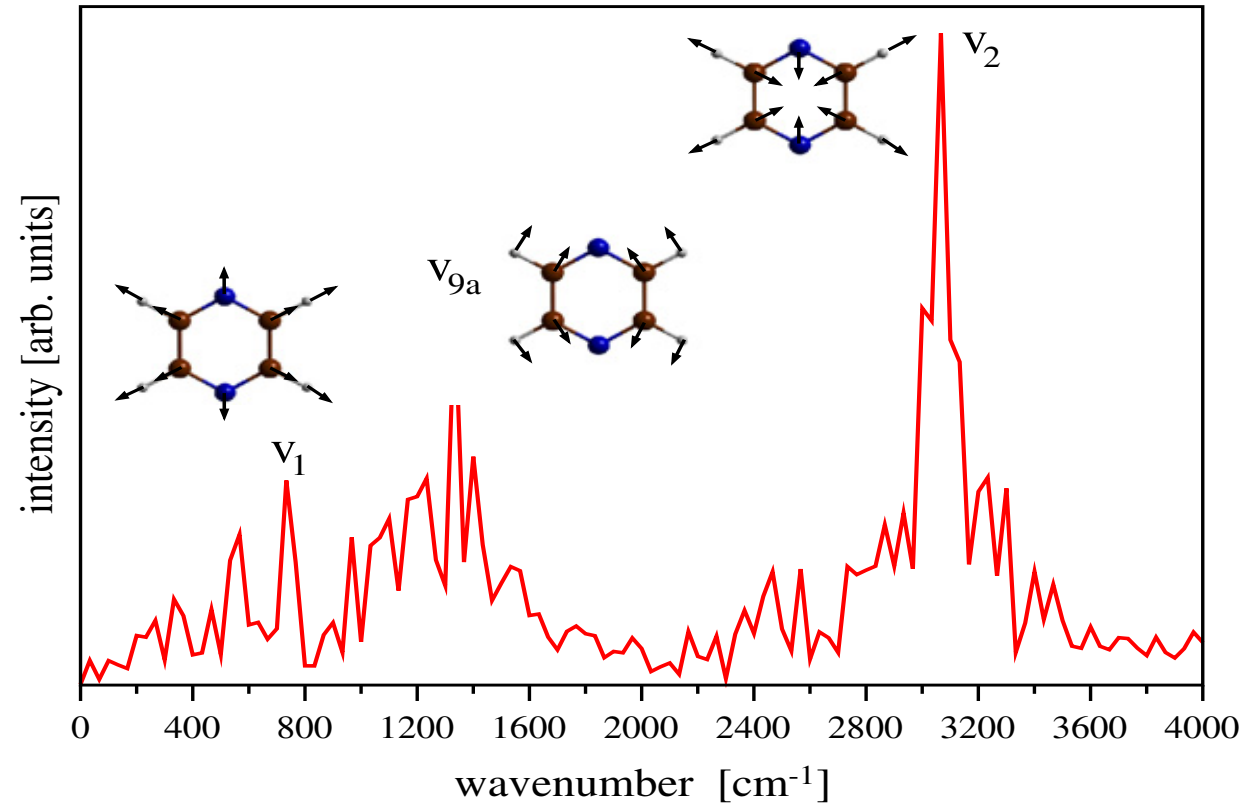
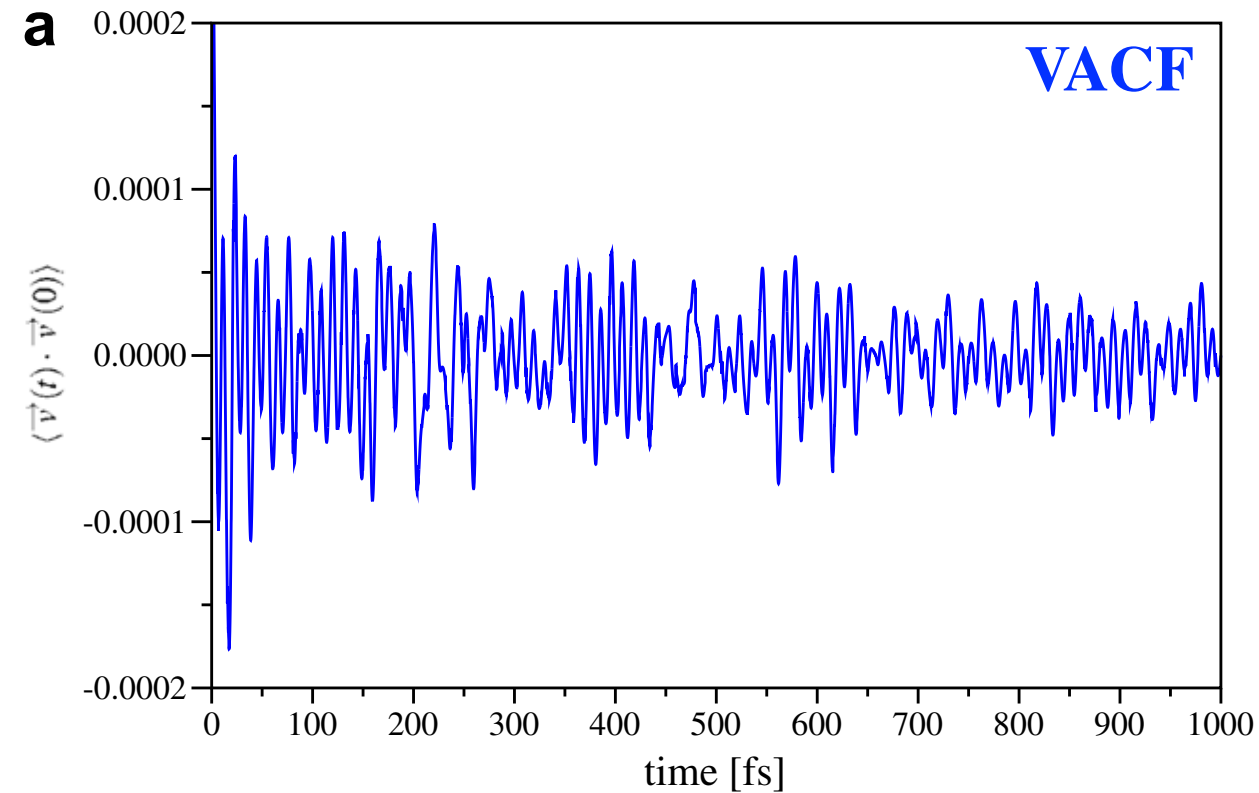


Analysis: Power spectrum

Power Spectra:

Fourier transform of the velocity autocorrelation function

$$P(\omega) = \int \langle v(\tau) v(\tau + t) \rangle_{\tau} e^{-i\omega t} dt$$



Thank You

Happy Simulating !!!